

Form PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number (Optional)
SPV-045.01 (20473-4501)Application Number
10/014,321Applicant
Liming ShaoFiling Date
October 26, 2001

Group Art Unit

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
lu	AA US 5,478,577	12/26/95	Sackler et al	424	489	
	AB US 5,958,452	09/28/99	Oshlack et al.	424	457	
	AC US 5,672,360	09/30/97	Sackler et al.	424	490	
	AD US 5,968,551	10/19/99	Oshlack et al.	424	456	
	AE US 6,004,583	12/21/99	Platé et al.	424	486	
	AF US 6,248,358 B1	06/19/01	Bologna et al.	424	465	
	AG US 6,261,599 B1	07/17/01	Oshlack et al.	424	457	
	AH US 6,294,195 B1	09/25/01	Oshlack et al.	424	457	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
lu	AI WO 95/27482	10/19/95	PCT	—	—		X
	AJ WO 99/13799	03/25/99	PCT	—	—		X
	AK WO 00/41681	07/20/00	PCT	—	—		X
	AL WO 00/47203	08/17/00	PCT	—	—		X
	AM WO 01/30288	05/03/01	PCT	—	—		X
	AN WO 01/37808 A1	05/31/01	PCT	—	—		X

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages Etc.)

lu	AO	Farrar et al.; "Oral Transmucosal Fentanyl Citrate: Randomized, Double-Blinded, Placebo-Controlled Trial for Treatment of Breakthrough Pain in Cancer Patients", Journal of the National Cancer Institute, 90(8): 611-616, (April 15, 1998)
lu	AP	Portenoy et al.; "Oral Transmucosal Fentanyl Citrate (OTFC) for the Treatment of Breakthrough Pain in Cancer Patients: a Controlled dose Titration Study", Pain 79: 303-312, (1999)

EXAMINER	lu	DATE CONSIDERED	2/03
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application Number
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Group Art Unit
1711

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U.S. PATENT DOCUMENTS

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Translation

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YES	NO

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OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages Etc.)

AS

Farrar et al.; "Oral Transmucosal Fentanyl Citrate: Randomized, Double-Blinded, Placebo-Controlled Trial for Treatment of Breakthrough Pain in Cancer Patients", Journal of the national Cancer Institute, 90(8): 611-616, (April 15, 1998)

AT

Portenoy et al.; "Oral Transmucosal Fentanyl Citrate (OTFC) For the Treatment of Breakthrough Pain in Cancer Patients: a Controlled Dose Titration Study", *Pain* 79: 303-312, (1999)

ALL

Stanley et al.; "Oral Transmucosal Fentanyl Citrate (Lollipop) Premedication in Human Volunteers", *Anesthesia and Analgesia*, 1(69): 21-27, (1989)

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Database Medline 'Online' 1990, Mikhailova S. et al.; "Liposomal Systems Prospective Drug Forms. II. The Creation and Characterization of Liposomal systems for the Oral Use of Narcotic Analgesics", Database Accession No. NLM1980460 XP 002208225

AW

International Search Report Completed on July 31, 2002 and Mailed on August 16, 2002.

EXAMINER

DATE CONSIDERED

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